

FORM NL-1-B-RA

Name of the Insurer: Max Bupa Health Insurance Company Limited

Registration No. 145 and Date of Registration with the IRDA February 15,2010

REVENUE ACCOUNT FOR THE QUARTER ENDED JUNE 30, 2013

| | Particulars | Schedule | FOR THE QUARTER ENDED 30TH JUNE 2013 | UPTO THE PERIOD ENDED 30TH JUNE 2013 | FOR THE QUARTER ENDED 30TH JUNE 2012 | UPTO THE PERIOD ENDED 30TH JUNE 2012 |
|---|---|--|---|--|--|---|
| | | | (Rs.'000) | | (Rs.'000) | |
| 1 | Premiums earned (Net) | NL-4- Premium Schedule | 477250 | 477250 | 250024 | 250024 |
| 2 | Profit/ Loss on sale/redemption of Investments | | 0 | 0 | 0 | 0 |
| 3 | Others (to be specified) | | 0 | 0 | 0 | 0 |
| 4 | Interest, Dividend & Rent – Gross | | 31100 | 31100 | 16790 | 16790 |
| | TOTAL (A) | | 508350 | 508350 | 266814 | 266814 |
| 1 | Claims Incurred (Net) | NL-5-Claims Schedule | 300414 | 300414 | 148309 | 148309 |
| 2 | Commission | NL-6- Commission Schedule | 53396 | 53396 | 26144 | 26144 |
| 3 | Operating Expenses related to Insurance Business | NL-7- Operating Expenses Schedule | 489965 | 489965 | 423055 | 423055 |
| 4 | Premium Deficiency | | 0 | 0 | 0 | 0 |
| | TOTAL (B) | | 843775 | 843775 | 597508 | 597508 |
| | Operating Profit/(Loss) from Fire/Marine/Miscellaneous Business C= (A - B) | | (335425) | (335425) | (330694) | (330694) |
| | APPROPRIATIONS | | | | | |
| | Transfer to Shareholders' Account | | (335425) | (335425) | (330694) | (330694) |
| | Transfer to Catastrophe Reserve | | 0 | 0 | 0 | 0 |
| | Transfer to Other Reserves (to be specified) | | 0 | 0 | 0 | 0 |
| | TOTAL (C) | | (335425) | (335425) | (330694) | (330694) |

Note: previous period numbers have been regrouped wherever necessary

FORM NL-2-B-PL

Name of the Insurer: Max Bupa Health Insurance Company Limited

Registration No. 145 and Date of Registration with the IRDA February 15,2010

PROFIT AND LOSS ACCOUNT FOR THE QUARTER ENDED JUNE 30, 2013

| | Particulars | Schedule | FOR THE QUARTER | UPTO THE PERIOD | FOR THE QUARTER | UPTO THE PERIOD |
|---|--|----------|----------------------|----------------------|----------------------|----------------------|
| | | | ENDED 30TH JUNE 2013 | ENDED 30TH JUNE 2013 | ENDED 30TH JUNE 2012 | ENDED 30TH JUNE 2012 |
| | | | (Rs.'000) | | (Rs.'000) | |
| 1 | OPERATING PROFIT/(LOSS) | | | | | |
| | (a) Fire Insurance | | 0 | 0 | 0 | 0 |
| | (b) Marine Insurance | | 0 | 0 | 0 | 0 |
| | (c) Miscellaneous Insurance | | (335425) | (335425) | (330694) | (330694) |
| 2 | INCOME FROM INVESTMENTS | | | | | |
| | (a) Interest, Dividend & Rent – Gross | | 20671 | 20671 | 25641 | 25641 |
| | (b) Profit on sale of investments | | 4959 | 4959 | 4522 | 4522 |
| | Less: Loss on sale of investments | | 0 | 0 | 0 | 0 |
| 3 | OTHER INCOME (To be specified) | | | | | |
| | - Interest Income | | 204 | 204 | 135 | 135 |
| | - Liabilities no longer required written back | | 0 | 0 | 0 | 0 |
| | TOTAL (A) | | (309591) | (309591) | (300396) | (300396) |
| 4 | PROVISIONS (Other than taxation) | | | | | |
| | (a) For diminution in the value of investments | | 0 | 0 | 0 | 0 |
| | (b) For doubtful debts | | 0 | 0 | 0 | 0 |
| | (c) Others (to be specified) | | 0 | 0 | 0 | 0 |
| 5 | OTHER EXPENSES | | | | | |
| | (a) Expenses other than those related to Insurance Business | | 1250 | 1250 | 0 | 0 |
| | (b) Bad debts written off | | 0 | 0 | 0 | 0 |
| | (c) Others | | 0 | 0 | 0 | 0 |
| | TOTAL (B) | | 1250 | 1250 | 0 | 0 |
| | Profit/(Loss) Before Tax | | (310841) | (310841) | (300396) | (300396) |
| | Provision for Taxation | | 0 | 0 | 0 | 0 |
| | APPROPRIATIONS | | | | | |
| | (a) Interim dividends paid during the period | | 0 | 0 | 0 | 0 |
| | (b) Proposed final dividend | | 0 | 0 | 0 | 0 |
| | (c) Dividend distribution tax | | 0 | 0 | 0 | 0 |
| | (d) Transfer to any Reserves or Other Accounts (to be specified) | | 0 | 0 | 0 | 0 |
| | Balance of profit/ (Loss) brought forward | | (3940335) | (3940335) | (2780710) | (2780710) |
| | Balance carried forward to Balance Sheet | | (4251176) | (4251176) | (3081106) | (3081106) |

Note: previous period numbers have been regrouped wherever necessary

FORM NL-3-B-BS

Name of the Insurer: Max Bupa Health Insurance Company Limited

Registration No. 145 and Date of Registration with the IRDA February 15,2010

BALANCE SHEET AS AT JUNE 30, 2013

| Particulars | Schedule | AS AT 30TH JUNE | AS AT 30TH JUNE |
|---|--|-----------------|-----------------|
| | | 2013 | 2012 |
| | | (Rs.'000) | (Rs.'000) |
| SOURCES OF FUNDS | | | |
| SHARE CAPITAL | NL-8-Share Capital Schedule | 5310000 | 4195000 |
| SHARE APPLICATION MONEY PENDING ALLOTMENT | | 65000 | 75400 |
| RESERVES AND SURPLUS | NL-10-Reserves and Surplus Schedule | 0 | 0 |
| FAIR VALUE CHANGE ACCOUNT | | 2391 | 1225 |
| BORROWINGS | NL-11-Borrowings Schedule | 0 | 0 |
| TOTAL | | 5377391 | 4271625 |
| APPLICATION OF FUNDS | | | |
| INVESTMENTS | NL-12-Investment Schedule | 2594110 | 2101020 |
| LOANS | NL-13-Loans Schedule | 0 | 0 |
| FIXED ASSETS | NL-14-Fixed Assets Schedule | 225785 | 216550 |
| DEFERRED TAX ASSET | | 0 | 0 |
| CURRENT ASSETS | | | |
| Cash and Bank Balances | NL-15-Cash and bank balance Schedule | 36753 | 15922 |
| Advances and Other Assets | NL-16-Advances and Other Assets Schedule | 287691 | 136077 |
| Sub-Total (A) | | 324444 | 151999 |

| | | | | |
|--|---|--|------------------|------------------|
| | CURRENT LIABILITIES | NL-17-Current Liabilities Schedule | 470650 | 320806 |
| | PROVISIONS | NL-18-Provisions Schedule | 1547474 | 958244 |
| | DEFERRED TAX LIABILITY | | 0 | 0 |
| | Sub-Total (B) | | 2018124 | 1279050 |
| | NET CURRENT ASSETS (C) = (A - B) | | (1693680) | (1127051) |
| | MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | NL-19-Miscellaneous Expenditure Schedule | 0 | 0 |
| | DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT | | 4251176 | 3081106 |
| | TOTAL | | 5377391 | 4271625 |

Note:previous period numbers have been regrouped wherever necessary

CONTINGENT LIABILITIES

| | Particulars | | AS AT 30TH JUNE 2013 (Rs.'000) | AS AT 30TH JUNE 2012 (Rs.'000) |
|---|---|--|-----------------------------------|-----------------------------------|
| 1 | Partly paid-up investments | | 0 | 0 |
| 2 | Claims, other than against policies, not acknowledged as debts by the company | | 0 | 0 |
| 3 | Underwriting commitments outstanding (in respect of shares and securities) | | 0 | 0 |
| 4 | Guarantees given by or on behalf of the Company | | 0 | 0 |
| 5 | Statutory demands/ liabilities in dispute, not provided for | | 0 | 0 |
| 6 | Reinsurance obligations to the extent not provided for in accounts | | 0 | 0 |
| 7 | Others | | 0 | 0 |
| | TOTAL | | 0 | 0 |

FORM NL-4-PREMIUM SCHEDULE
PREMIUM EARNED [NET]

| | Particulars | FOR THE QUARTER ENDED 30TH JUNE 2013 | UPTO THE PERIOD ENDED 30TH JUNE 2013 | FOR THE QUARTER ENDED 30TH JUNE 2012 | UPTO THE PERIOD ENDED 30TH JUNE 2012 |
|--|--|---|---|---|---|
| | | (Rs.'000) | (Rs.'000) | (Rs.'000) | (Rs.'000) |
| | Premium from direct business written* | 597630 | 597630 | 363868 | 363868 |
| | Service Tax | | | | |
| | Adjustment for change in reserve for unexpired risks | 0 | 0 | 0 | 0 |
| | Gross Earned Premium | 597630 | 597630 | 363868 | 363868 |
| | Add: Premium on reinsurance accepted | 0 | 0 | 0 | 0 |
| | Less : Premium on reinsurance ceded | 31891 | 31891 | 36387 | 36387 |
| | Net Premium | 565739 | 565739 | 327481 | 327481 |
| | Adjustment for change in reserve for unexpired risks | 88489 | 88489 | 77457 | 77457 |
| | Premium Earned (Net) | 477250 | 477250 | 250024 | 250024 |

* Net of Service Tax

Note:previous period numbers have been regrouped wherever necessary

FORM NL-5 - CLAIMS SCHEDULE
CLAIMS INCURRED [NET]

| | Particulars | FOR THE QUARTER ENDED 30TH JUNE 2013 | UPTO THE PERIOD ENDED 30TH JUNE 2013 | FOR THE QUARTER ENDED 30TH JUNE 2012 | UPTO THE PERIOD ENDED 30TH JUNE 2012 |
|--|---|---|---|---|---|
| | | (Rs.'000) | (Rs.'000) | (Rs.'000) | (Rs.'000) |
| | Claims paid | | | | |
| | Direct claims | 275763 | 275763 | 129250 | 129250 |
| | Add Claims Outstanding at the end of the period | 269261 | 269261 | 144289 | 144289 |
| | Less Claims Outstanding at the beginning | 213304 | 213304 | 112681 | 112681 |
| | Gross Incurred Claims | 331720 | 331720 | 160858 | 160858 |
| | Add :Re-insurance accepted to direct claims | 0 | 0 | 0 | 0 |
| | Less :Re-insurance Ceded to claims paid | 31306 | 31306 | 12549 | 12549 |
| | | | | | |
| | Total Claims Incurred * | 300414 | 300414 | 148309 | 148309 |

* Includes an amount of Rs 6914 thousand (previous period Rs 3762 thousand) on account of expenses incurred towards product related benefit paid to policyholders

Note:previous period numbers have been regrouped wherever necessary

**FORM NL-6-COMMISSION SCHEDULE
COMMISSION -**

| Particulars | FOR THE QUARTER ENDED 30TH JUNE 2013 | UPTO THE PERIOD ENDED 30TH JUNE 2013 | FOR THE QUARTER ENDED 30TH JUNE 2012 | UPTO THE PERIOD ENDED 30TH JUNE 2012 |
|--|---|---|---|---|
| | (Rs.'000) | (Rs.'000) | (Rs.'000) | (Rs.'000) |
| Commission paid | | | | |
| Direct | 57701 | 57701 | 31283 | 31283 |
| Add: Re-insurance accepted | 0 | 0 | 0 | 0 |
| Less: Commission on Re-insurance Ceded | 4305 | 4305 | 5139 | 5139 |
| Net Commission | 53396 | 53396 | 26144 | 26144 |
| Break-up of the expenses (Gross) incurred to procure business to be furnished as per details indicated below: | | | | |
| Agents | 41827 | 41827 | 23580 | 23580 |
| Brokers | 15874 | 15874 | 7703 | 7703 |
| Corporate Agency | 0 | 0 | 0 | 0 |
| Referral | 0 | 0 | 0 | 0 |
| Others (pl. specify) | 0 | 0 | 0 | 0 |
| TOTAL (B) | 57701 | 57701 | 31283 | 31283 |

FORM NL-7-OPERATING EXPENSES SCHEDULE

OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

| | Particulars | FOR THE QUARTER ENDED 30TH JUNE 2013 | UPTO THE PERIOD ENDED 30TH JUNE 2013 | FOR THE QUARTER ENDED 30TH JUNE 2012 | UPTO THE PERIOD ENDED 30TH JUNE 2012 |
|----|--|---|---|---|--|
| | | (Rs.'000) | (Rs.'000) | (Rs.'000) | (Rs.'000) |
| 1 | Employees' remuneration & welfare benefits | 236323 | 236323 | 204137 | 204137 |
| 2 | Travel, conveyance and vehicle running expenses | 24349 | 24349 | 24213 | 24213 |
| 3 | Training expenses | 5656 | 5656 | 5265 | 5265 |
| 4 | Rents, rates & taxes ** | 36732 | 36732 | 36384 | 36384 |
| 5 | Repairs | 18615 | 18615 | 14085 | 14085 |
| 6 | Printing & stationery | 4517 | 4517 | 2738 | 2738 |
| 7 | Communication | 17756 | 17756 | 13075 | 13075 |
| 8 | Legal & professional charges | 77324 | 77324 | 58651 | 58651 |
| 9 | Auditors' fees, expenses etc | | | | |
| | (a) as auditor | 577 | 577 | 498 | 498 |
| | (b) as adviser or in any other capacity, in respect of | | | | |
| | (i) Taxation matters | 0 | 0 | 0 | 0 |
| | (ii) Insurance matters | 0 | 0 | 0 | 0 |
| | (iii) Management services; and | 0 | 0 | 0 | 0 |
| | (c) in any other capacity-Tax Audit | 15 | 15 | 15 | 15 |
| 10 | Advertisement and publicity | 38300 | 38300 | 42829 | 42829 |
| 11 | Interest & Bank Charges | 4811 | 4811 | 2614 | 2614 |
| 12 | Others (to be specified) | | | | |
| | (a) Business and Sales Promotion | 44 | 44 | 46 | 46 |
| | (b) Membership & Subscription | 630 | 630 | 198 | 198 |
| | (c) Loss on Disposal of Fixed Assets | 0 | 0 | 0 | 0 |
| | (d) Loss on Foreign Exchange Fluctuation | 6 | 6 | 1172 | 1172 |
| | (e) Miscellaneous Expenses* | 275 | 275 | 191 | 191 |
| 13 | Depreciation | 24035 | 24035 | 16944 | 16944 |
| | TOTAL | 489965 | 489965 | 423055 | 423055 |

*None of the items individually are higher than Rs. 500 thousands

** Rent expenses is after adjustment of rent equilization reserve

Note:previous period numbers have been regrouped wherever necessary

FORM NL-8-SHARE CAPITAL SCHEDULE
SHARE CAPITAL

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|---|---------------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Authorised Capital | | |
| | 700000000 Equity Shares of Rs 10 each | 7000000 | 7000000 |
| | (Previous period 700000000 Equity Shares of Rs.10 each) | | |
| 2 | Issued Capital | | |
| | 531000000 Equity Shares of Rs 10 each | 5310000 | 4195000 |
| | (Previous period 419500000 Equity Shares of Rs.10 each) | | |
| 3 | Subscribed Capital | | |
| | 531000000 Equity Shares of Rs 10 each | 5310000 | 4195000 |
| | (Previous period 419500000 Equity Shares of Rs.10 each) | | |
| 4 | Called-up Capital | | |
| | 531000000 Equity Shares of Rs 10 each | 5310000 | 4195000 |
| | (Previous period 419500000 Equity Shares of Rs.10 each) | | |
| | Less : Calls unpaid | 0 | 0 |
| | Add : Equity Shares forfeited (Amount originally paid up) | 0 | 0 |
| | Less : Par Value of Equity Shares bought back | 0 | 0 |
| | Less : Preliminary Expenses | 0 | 0 |
| | Expenses including commission or brokerage on | 0 | 0 |
| | Underwriting or subscription of shares | 0 | 0 |
| | TOTAL | 5310000 | 4195000 |

Note:

Out of the above, 392940000 (Previous period 310430000) Equity Shares of Rs. 10/- each are held by Max India Limited, the holding company along with its nominees

**FORM NL-9-PATTERN OF SHAREHOLDING SCHEDULE
SHARE CAPITAL**

**PATTERN OF SHAREHOLDING
[As certified by the Management]**

| Shareholder | AS AT 30TH JUNE 2013 | | AS AT 30TH JUNE 2012 | |
|--------------|----------------------|----------------|----------------------|----------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| • Indian | 392940000 | 74.00% | 310430000 | 74.00% |
| • Foreign | 138060000 | 26.00% | 109070000 | 26.00% |
| Others | 0 | 0 | 0 | 0 |
| TOTAL | 531000000 | 100.00% | 419500000 | 100.00% |

FORM NL-10-RESERVE AND SURPLUS SCHEDULE**RESERVES AND SURPLUS**

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|---|---------------------------------|---------------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Capital Reserve | 0 | 0 |
| 2 | Capital Redemption Reserve | 0 | 0 |
| 3 | Share Premium | 0 | 0 |
| 4 | General Reserves | 0 | 0 |
| | Less: Debit balance in Profit and Loss Account | 0 | 0 |
| | Less: Amount utilized for Buy-back | 0 | 0 |
| 5 | Catastrophe Reserve | 0 | 0 |
| 6 | Other Reserves (to be specified) | 0 | 0 |
| 7 | Balance of Profit in Profit & Loss Account | 0 | 0 |
| | TOTAL | 0 | 0 |

FORM NL-11-BORROWINGS SCHEDULE
BORROWINGS

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--------------------------|---------------------------------|---------------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Debentures/ Bonds | 0 | 0 |
| 2 | Banks | 0 | 0 |
| 3 | Financial Institutions | 0 | 0 |
| 4 | Others (to be specified) | 0 | 0 |
| | TOTAL | 0 | 0 |

FORM NL-12-INVESTMENT SCHEDULE

Investments

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--|-----------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| | LONG TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 497802 | 393492 |
| 2 | Other Approved Securities | 0 | 0 |
| 3 | Other Investments | | |
| | (a) Shares | | |
| | (aa) Equity | 0 | 0 |
| | (bb) Preference | 0 | 0 |
| | (b) Mutual Funds | 0 | 0 |
| | (c) Derivative Instruments | 0 | 0 |
| | (d) Debentures/ Bonds | 100258 | 0 |
| | (e) Other Securities -Fixed Deposits | 210289 | 5705 |
| | (f) Subsidiaries | 0 | 0 |
| | (g) Investment Properties-Real Estate | 0 | 0 |
| 4 | Investments in Infrastructure and Social Sector | 100376 | 99244 |
| 5 | Other than Approved Investments | 0 | 0 |
| | SHORT TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 341836 | 190954 |
| 2 | Other Approved Securities | 0 | 97947 |
| 3 | Other Investments | | |
| | (a) Shares | | |
| | (aa) Equity | 0 | 0 |
| | (bb) Preference | 0 | 0 |
| | (b) Mutual Funds | 115187 | 73434 |
| | (a) Derivative Instruments | 0 | 0 |
| | (b) Debentures/ Bonds | 457003 | 639218 |
| | (c) Other Securities-Fixed Deposits | 308936 | 108684 |
| | (d) Subsidiaries | 0 | 0 |
| | (e) Investment Properties-Real Estate | 0 | 0 |
| 4 | Investments in Infrastructure and Social Sector | 249199 | 298736 |
| 5 | Other than Approved Investments* | 213224 | 193606 |
| | TOTAL | 2594110 | 2101020 |

* in mutual funds

Notes:

- a. Short Term investments in Government securities include Deposits held under section 7 of Insurance Act 1938, having book value of Rs.99786 thousand (Previous year classified under long term investments in Government securities- Rs. 98982 thousand). Market value of such investments is Rs. 99930 thousands (Previous year classified under investments in long Term Government securities- Rs.99191 thousand)
- b. Aggregate amount of Company's investments other than listed equity securities and derivative instruments is Rs.2594110 thousands (Previous year: Rs.2101020 thousands). Market value of such investments is Rs. 2599550 thousands (Previous year Rs.2101467 thousands)

Note:previous period numbers have been regrouped wherever necessary

FORM NL-13-LOANS SCHEDULE
LOANS

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--|-----------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | SECURITY-WISE CLASSIFICATION | | |
| | Secured | | |
| | (a) On mortgage of property | 0 | 0 |
| | (aa) In India | 0 | 0 |
| | (bb) Outside India | 0 | 0 |
| | (b) On Shares, Bonds, Govt. Securities | 0 | 0 |
| | (c) Others (to be specified) | 0 | 0 |
| | Unsecured | 0 | 0 |
| | TOTAL | 0 | 0 |
| 2 | BORROWER-WISE CLASSIFICATION | | |
| | (a) Central and State Governments | 0 | 0 |
| | (b) Banks and Financial Institutions | 0 | 0 |
| | (c) Subsidiaries | 0 | 0 |
| | (d) Industrial Undertakings | 0 | 0 |
| | (e) Others (to be specified) | 0 | 0 |
| | TOTAL | 0 | 0 |
| 3 | PERFORMANCE-WISE CLASSIFICATION | | |
| | (a) Loans classified as standard | 0 | 0 |
| | (aa) In India | 0 | 0 |
| | (bb) Outside India | 0 | 0 |
| | (b) Non-performing loans less provisions | 0 | 0 |
| | (aa) In India | 0 | 0 |
| | (bb) Outside India | 0 | 0 |
| | TOTAL | 0 | 0 |
| 4 | MATURITY-WISE CLASSIFICATION | | |
| | (a) Short Term | 0 | 0 |
| | (b) Long Term | 0 | 0 |
| | TOTAL | 0 | 0 |

MAX BUPA HEALTH INSURANCE COMPANY LIMITED

REGISTRATION NO: 145, DATE OF REGISTRATION WITH IRDA: FEBRUARY 15, 2010

SCHEDULES FORMING PART OF FINANCIAL STATEMENTS

Form NL-14

FIXED ASSETS

(Rs.'000)

| SN | Particulars | Cost/ Gross Block | | | | Depreciation | | | | Net Block | |
|----|----------------------------------|----------------------|-----------|----------------|-----------------------|----------------------|-----------------|------------------------------|-------------------------|-----------------------|-----------------------|
| | | As at Apr 1, 2013 | Additions | Deductio ns | As at Jun 30, 2013 | Upto Mar 31, 2013 | For the year | On Sales/ Adjustmen ts | To date Jun 30, 2013 | As at Jun 30, 2013 | As at Jun 30, 2012 |
| 1 | Goodwill | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | Intangibles | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | a) Softwares | 181718 | 5731 | 0 | 187449 | 96156 | 12746 | 0 | 108902 | 78547 | 89794 |
| | b) Website | 10602 | 236 | 0 | 10838 | 3006 | 675 | 0 | 3681 | 7157 | 1210 |
| 3 | Land-Freehold | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Leasehold Property | 89990 | 171 | 0 | 90161 | 30319 | 3063 | 0 | 33382 | 56779 | 64128 |
| 5 | Buildings | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | Furniture & Fittings | 24109 | 180 | 0 | 24289 | 14331 | 1016 | 0 | 15347 | 8942 | 9571 |
| 7 | Information Technology Equipment | 70757 | 6244 | 0 | 77001 | 32248 | 4664 | 0 | 36912 | 40089 | 35273 |
| 8 | Vehicles | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9 | Office Equipment | 32518 | 4432 | 21 | 36929 | 17394 | 1871 | 5 | 19260 | 17669 | 14120 |
| 10 | Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 409694 | 16994 | 21 | 426667 | 193454 | 24035 | 5 | 217484 | 209183 | 214096 |
| 11 | Work in progress | 12296 | 4306 | 0 | 16602 | 0 | 0 | 0 | 0 | 16602 | 2455 |
| | Grand total | 421990 | 21300 | 21 | 443269 | 193454 | 24035 | 5 | 217484 | 225785 | 216550 |
| | Previous period | 318835 | 21497 | 2885 | 337446 | 103962 | 16944 | 10 | 120896 | 216550 | |

Notes:

1. Leasehold property consists of civil and other improvements at premises taken on long term lease by the Company.
2. Work in progress includes capital advances Rs.16601 thousands (Previous period Rs 526 thousands) and capital expenditure pending capitalisation Rs Nil thousands (Previous period Rs Nil).

FORM NL-15-CASH AND BANK BALANCE SCHEDULE
CASH AND BANK BALANCES

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--|-----------------------------|-----------------------------|
| | | (Rs.'000) | (Rs.'000) |
| 1 | Cash (including cheques, drafts and stamps) | 5797 | 4436 |
| 2 | Bank Balances | | |
| | (a) Deposit Accounts | | |
| | (aa) Short-term (due within 12 months) | 0 | 0 |
| | (bb) Others | 0 | 0 |
| | (b) Current Accounts | 30956 | 11486 |
| | (c) Others (to be specified) | 0 | 0 |
| 3 | Money at Call and Short Notice | | |
| | (a) With Banks | 0 | 0 |
| | (b) With other Institutions | 0 | 0 |
| 4 | Others (to be specified) | 0 | 0 |
| | TOTAL | 36753 | 15922 |
| | Balances with non-scheduled banks included in 2 and 3 above is Nil (Previous period Nil) | Nil | Nil |

Note: previous period numbers have been regrouped wherever necessary

FORM NL-16-ADVANCES AND OTHER ASSETS SCHEDULE
ADVANCES AND OTHER ASSETS

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--|---------------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| | ADVANCES | | |
| 1 | Reserve deposits with ceding companies | 0 | 0 |
| 2 | Application money for investments | 0 | 0 |
| 3 | Prepayments | 19381 | 12394 |
| 4 | Advances to Directors/Officers | 0 | 0 |
| 5 | Advance tax paid and taxes deducted at source (Net of provision for taxation) | 451 | 58 |
| 6 | Others (to be specified) | | |
| | (a) Advance to Suppliers | 22122 | 4279 |
| | (b) Other advances* | 64177 | 100 |
| | TOTAL (A) | 106131 | 16831 |
| | OTHER ASSETS | | |
| 1 | Income accrued on investments** | 68486 | 35306 |
| 2 | Outstanding Premiums | 0 | 0 |
| 3 | Agents' Balances | 2397 | 1797 |
| 4 | Foreign Agencies Balances | 0 | 0 |
| 5 | Due from other entities carrying on insurance business (including reinsurers) | 50095 | 17733 |
| 6 | Due from subsidiaries/ holding | 0 | 0 |
| 7 | Deposit with Reserve Bank of India [Pursuant to section 7 of Insurance Act, 1938] | 0 | 0 |
| 8 | Others (to be specified) | | |
| | (a) Rent and other deposits*** | 60582 | 60688 |
| | (b) Service tax on input services (net) | 0 | 3681 |
| | (c) Cenvat credit on capital goods | 0 | 41 |
| | TOTAL (B) | 181560 | 119246 |
| | TOTAL (A+B) | 287691 | 136077 |

* Includes Rs. 63194 thousands (Previous period Rs. Nil) receivable from Central / State Government on account of premium under RSBY Scheme

** Income Accrued on Investments includes interest on deposits also.

*** Includes deposits of Rs. 2200 thousands (Previous period Rs. 2200 thousands) with bank for providing guarantee to network hospitals

Note: previous period numbers have been regrouped wherever necessary

FORM NL-17-CURRENT LIABILITIES SCHEDULE**CURRENT LIABILITIES**

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|----|---|-----------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Agents' Balances | 4026 | 7129 |
| 2 | Balances due to other insurance companies | 71002 | 36387 |
| 3 | Deposits held on re-insurance ceded | 0 | 0 |
| 4 | Premiums received in advance | 11087 | 7362 |
| 5 | Unallocated Premium | 24179 | 22067 |
| 6 | Sundry creditors* | 28979 | 49855 |
| 7 | Due to subsidiaries/ holding company | 2128 | 17303 |
| 8 | Claims Outstanding | 269261 | 144289 |
| 9 | Unclaimed amount of policyholders/insured** | 9291 | 8945 |
| | | | |
| 9 | Due to Officers/ Directors | 0 | 0 |
| 10 | Others (to be specified) | | |
| | (a) Tax deducted payable | 15537 | 16801 |
| | (b) Other statutory dues | 12081 | 4195 |
| | (c) Advance from Corporate Clients | 23079 | 6473 |
| | TOTAL | 470650 | 320806 |

* Includes creditors for capital expenditure of Rs. 2736 thousands (Previous period Rs. 6679 thousands)

Note:previous period numbers have been regrouped wherever necessary

FORM NL-18-PROVISIONS SCHEDULE**PROVISIONS**

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|---|---------------------------------|---------------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Reserve for Unexpired Risk | 1160993 | 610485 |
| 2 | For taxation (less advance tax paid and taxes deducted at source) | 50 | 50 |
| 3 | For proposed dividends | 0 | 0 |
| 4 | For dividend distribution tax | 0 | 0 |
| 5 | Others (to be specified) | | |
| | For employee benefits | | |
| | (a) Gratuity | 6385 | 2061 |
| | (b) Leave Encashment | 17590 | 14349 |
| | (c) Superannuation | 40 | 64 |
| | (d) Other Manpower Related | 115400 | 116504 |
| | (e) Provision for Commission | 7334 | 7735 |
| | (f) Other Operating Expense Related | 239682 | 206996 |
| 6 | Reserve for Premium Deficiency | 0 | 0 |
| | TOTAL | 1547474 | 958244 |

Note:previous period numbers have been regrouped wherever necessary

FORM NL-19 MISC EXPENDITURE SCHEDULE

MISCELLANEOUS EXPENDITURE
(To the extent not written off or adjusted)

| | Particulars | AS AT 30TH JUNE 2013 | AS AT 30TH JUNE 2012 |
|---|--|-----------------------------|-----------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Discount Allowed in issue of shares/ debentures | 0 | 0 |
| 2 | Others (to be specified) | 0 | 0 |
| | TOTAL | 0 | 0 |

FORM NL-20-RECEIPT AND PAYMENTS SCHEDULE

Format of Receipts and Payments A/c to be furnished by the insurers on direct basis for Quarter ended 30th June 2013

(Rs in '000's)

| | |
|--|-----------------|
| Cash Flows from the operating activities: | |
| Premium received from policyholders, including advance receipts | 662616 |
| Other receipts | 0 |
| Payments to the re-insurers, net of commissions and claims | (46022) |
| Payments to co-insurers, net of claims recovery | 0 |
| Payments of claims | (259577) |
| Payments of commission and brokerage | (73440) |
| Payments of other operating expenses | (542437) |
| Preliminary and pre-operative expenses | 0 |
| Deposits, advances and staff loans | (7850) |
| Income taxes paid (Net) | 0 |
| Service tax paid | (14800) |
| Other payments | 0 |
| Cash flows before extraordinary items | (281510) |
| Cash flow from extraordinary operations | 0 |
| Net cash flow from operating activities | (281510) |
| Cash flows from investing activities: | |
| Purchase of fixed assets | (35501) |
| Proceeds from sale of fixed assets | 0 |
| Purchases of investments(Net) | (1460073) |
| Loans disbursed | 0 |
| Sales of investments | 0 |
| Repayments received | 1053643 |
| Rents/Interests/ Dividends received | 38520 |
| Investments in money market instruments and in liquid mutual funds (Net) | 424907 |
| Expenses related to investments | 0 |
| Net cash flow from investing activities | 21496 |
| Cash flows from financing activities: | |
| Proceeds from issuance of share capital | 0 |
| Share Application Money | 264800 |
| Proceeds from borrowing | 0 |
| Repayments of borrowing | 0 |
| Interest/dividends paid | 0 |
| Net cash flow from financing activities | 264800 |
| Effect of foreign exchange rates on cash and cash equivalents, net | 0 |
| Net increase in cash and cash equivalents: | 4786 |
| Cash and cash equivalents at the beginning of the year | 31967 |
| Cash and cash equivalents at the end of the year | 36753 |

PERIODIC DISCLOSURES

FORM NL-21 Statement of Liabilities

Insurer: Max Bupa Health Insurance Company Limited Date: 30-Jun-13

(Rs in Lakhs)

| Statement of Liabilities | | | | | | | | | |
|--------------------------|--------------------------|------------------------------|--------------------------------|----------------|-----------------|------------------------------|--------------------------------|---------------|----------------|
| AS AT 30TH JUNE 2013 | | | | | | AS AT 30TH JUNE 2012 | | | |
| Sl.No. | Particular | Reserves for unexpired risks | Reserve for Outstanding Claims | IBNR Reserves | Total Reserves | Reserves for unexpired risks | Reserve for Outstanding Claims | IBNR Reserves | Total Reserves |
| 1 | Fire | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | Marine | | | | | | | | |
| a | Marine Cargo | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b | Marine Hull | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Miscellaneous | | | | | | | | |
| a | Motor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b | Engineering | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c | Aviation | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d | Liabilities | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e | Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Health Insurance | 11609.93 | 1481.49 | 1211.12 | 14302.54 | 6104.85 | 758.59 | 684.30 | 7547.74 |
| 5 | Total Liabilities | 11609.93 | 1481.49 | 1211.12 | 14302.54 | 6104.85 | 758.59 | 684.30 | 7547.74 |

PERIODIC DISCLOSURES

FORM NL-22 Geographical Distribution of Business

Insurer: **Max Bupa Health Insurance Company Limited**
GROSS DIRECT PREMIUM UNDERWRITTEN FOR THE period ended 30th June, 2013

Date: 30-Jun-13

(Rs in Lakhs)

| STATES | Fire | | Marine (Cargo) | | Marine (Hull) | | Engineering | | Motor Own Damage | | Motor Third Party | | Liability insurance | | Personal Accident | | Medical Insurance | | Overseas medical insurance | | Crop Insurance | | All Other Miscellaneous | | Grand Total | |
|-----------------------|----------------|-----------------|----------------|-----------------|----------------|-----------------|----------------|-----------------|------------------|-----------------|-------------------|-----------------|---------------------|-----------------|-------------------|-----------------|-------------------|-----------------|----------------------------|-----------------|----------------|-----------------|-------------------------|-----------------|----------------|-----------------|
| | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period | For the period | Upto the period |
| Andaman & Nicobar Is. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | - | - | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - |
| Andhra Pradesh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 4.30 | 4.30 | 417.10 | 417.10 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 421.40 | 421.40 |
| Arunachal Pradesh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.62 | 0.62 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.62 | 0.62 |
| Assam | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 12.98 | 12.98 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 12.98 | 12.98 |
| Bihar | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.08 | 0.08 | 30.37 | 30.37 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 30.46 | 30.46 |
| Chandigarh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.04 | 0.04 | 40.14 | 40.14 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 40.18 | 40.18 |
| Chhattisgarh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.01 | 0.01 | 9.94 | 9.94 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 9.95 | 9.95 |
| Dadra & Nagra Haveli | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.48 | 0.48 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.48 | 0.48 |
| Daman & Diu | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.39 | 0.39 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.39 | 0.39 |
| Delhi | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 2.18 | 2.18 | 1,114.91 | 1,114.91 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1,117.09 | 1,117.09 |
| Goa | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.02 | 1.02 | 27.57 | 27.57 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 28.59 | 28.59 |
| Gujarat | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 2.45 | 2.45 | 452.68 | 452.68 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 455.13 | 455.13 |
| Haryana | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.59 | 1.59 | 432.42 | 432.42 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 434.01 | 434.01 |
| Himachal Pradesh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 7.95 | 7.95 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 7.95 | 7.95 |
| Jammu & Kashmir | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 6.78 | 6.78 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 6.78 | 6.78 |
| Jharkhand | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 16.67 | 16.67 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 16.67 | 16.67 |
| Karnataka | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 7.12 | 7.12 | 690.41 | 690.41 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 697.53 | 697.53 |
| Kerala | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.08 | 0.08 | 51.01 | 51.01 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 51.10 | 51.10 |
| Lakshadweep | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | - | - | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - |
| Madhya Pradesh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.09 | 0.09 | 22.82 | 22.82 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 22.91 | 22.91 |
| Maharashtra | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 5.31 | 5.31 | 1,118.91 | 1,118.91 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1,124.22 | 1,124.22 |
| Manipur | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.15 | 0.15 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.15 | 0.15 |
| Meghalaya | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 1.83 | 1.83 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.83 | 1.83 |
| Mizoram | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | - | - | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - |
| Nagaland | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.03 | 0.03 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.03 | 0.03 |
| Orissa | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.06 | 0.06 | 18.61 | 18.61 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 18.67 | 18.67 |
| Puducherry | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.95 | 0.95 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.95 | 0.95 |
| Punjab | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.02 | 1.02 | 284.32 | 284.32 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 285.34 | 285.34 |
| Rajasthan | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.05 | 1.05 | 166.37 | 166.37 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 167.41 | 167.41 |
| Sikkim | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 1.08 | 1.08 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 1.08 | 1.08 |
| Tamil Nadu | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 2.08 | 2.08 | 312.95 | 312.95 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 315.03 | 315.03 |
| Tripura | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | - | - | 0.50 | 0.50 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.50 | 0.50 |
| Uttar Pradesh | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 2.66 | 2.66 | 401.97 | 401.97 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 404.63 | 404.63 |
| Uttarakhand | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.02 | 0.02 | 34.78 | 34.78 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 34.80 | 34.80 |
| West Bengal | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 0.58 | 0.58 | 266.87 | 266.87 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | 267.45 | 267.45 |

PERIODIC DISCLOSURES

FORM NL-23 Reinsurance Risk Concentration

 Insurer: Max Bupa Health Insurance Company Limited

 Date: 30-Jun-13
(Rs in Lakhs)

| S.No. | Reinsurance Placements | No. of reinsurers | Premium ceded to reinsurers | | | Premium ceded to reinsurers / Total reinsurance premium ceded (%) |
|-------|--|-------------------|-----------------------------|------------------|-------------|---|
| | | | Proportional | Non-Proportional | Facultative | |
| 1 | No. of Reinsurers with rating of AAA and above | 0 | 0 | 0 | 0 | 0% |
| 2 | No. of Reinsurers with rating AA but less than AAA | 0 | 0 | 0 | 0 | 0% |
| 3 | No. of Reinsurers with rating A but less than AA | 1 | 12.40 | 0 | 0 | 4% |
| 4 | No. of Reinsurers with rating BBB but less than A | 1 | 306.51 | 0 | 0 | 96% |
| 5 | No. of Reinsurers with rating less than BBB | 0 | 0 | 0 | 0 | 0% |
| 6 | Others | 0 | - | | | 0% |
| | Total | 2 | 318.91 | 0.00 | 0.00 | 100% |

| PERIODIC DISCLOSURES | | | | | | | | |
|----------------------|-------------------|---|--------------|--------------|-------------------|----------|--------------------------|-------------------------------|
| FORM NL-24 | | Ageing of Claims | | | | | | |
| Insurer: | | Max Bupa Health Insurance Company Limited | | | Date: | | 30-Jun-13 | |
| <i>(Rs in Lakhs)</i> | | | | | | | | |
| Sl.No. | Line of Business | No. of claims paid | | | | | Total No. of claims paid | * Total amount of claims paid |
| | | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Fire | NA | NA | NA | NA | NA | NA | NA |
| 2 | Marine Cargo | NA | NA | NA | NA | NA | NA | NA |
| 3 | Marine Hull | NA | NA | NA | NA | NA | NA | NA |
| 4 | Engineering | NA | NA | NA | NA | NA | NA | NA |
| 5 | Motor OD | NA | NA | NA | NA | NA | NA | NA |
| 6 | Motor TP | NA | NA | NA | NA | NA | NA | NA |
| 7 | Health | 7620 | 588 | 78 | 8 | - | 8294 | 2,757.63 |
| 8 | Overseas Travel | NA | NA | NA | NA | NA | NA | NA |
| 9 | Personal Accident | NA | NA | NA | NA | NA | NA | NA |
| 10 | Liability | NA | NA | NA | NA | NA | NA | NA |
| 11 | Crop | NA | NA | NA | NA | NA | NA | NA |
| 12 | Miscellaneous | NA | NA | NA | NA | NA | NA | NA |

* Includes an amount of Rs 69.14 on account of expenses incurred towards product related benefit paid to policyholders

| PERIODIC DISCLOSURES | | | | | | | | | | | | | | | |
|---|---|---|--------------|-------------|-------------|----------|----------|-----------|-----------------|-------------------|-----------|------|--------|---------------|-------|
| FORM NL-25 : Quarterly claims data for Non-Life | | | | | | | | | | | | | | | |
| Insurer: | | Max Bupa Health Insurance Company Limited | | | | Date: | | 30-Jun-13 | | | | | | | |
| <i>No. of claims only</i> | | | | | | | | | | | | | | | |
| S No. | Claims Experience | Fire | Marine Cargo | Marine Hull | Engineering | Motor OD | Motor TP | Health | Overseas Travel | Personal Accident | Liability | Crop | Credit | Miscellaneous | Total |
| 1 | Claims O/S at the beginning of the period | NA | NA | NA | NA | NA | NA | 1761 | NA | NA | NA | NA | NA | NA | 1761 |
| 2 | Claims reported during the period | NA | NA | NA | NA | NA | NA | 10200 | NA | NA | NA | NA | NA | NA | 10200 |
| 3 | Claims Settled during the period | NA | NA | NA | NA | NA | NA | 8294 | NA | NA | NA | NA | NA | NA | 8294 |
| 4 | Claims Repudiated during the period | NA | NA | NA | NA | NA | NA | 1318 | NA | NA | NA | NA | NA | NA | 1318 |
| 5 | Claims closed during the period | NA | NA | NA | NA | NA | NA | 238 | NA | NA | NA | NA | NA | NA | 238 |
| 6 | Claims O/S at End of the period | NA | NA | NA | NA | NA | NA | 2111 | NA | NA | NA | NA | NA | NA | 2111 |
| | Less than 3months | NA | NA | NA | NA | NA | NA | 1973 | NA | NA | NA | NA | NA | NA | 1973 |
| | 3 months to 6 months | NA | NA | NA | NA | NA | NA | 138 | NA | NA | NA | NA | NA | NA | 138 |
| | 6months to 1 year | NA | NA | NA | NA | NA | NA | 0 | NA | NA | NA | NA | NA | NA | 0 |
| | 1year and above | NA | NA | NA | NA | NA | NA | 0 | NA | NA | NA | NA | NA | NA | 0 |

FORM NL-26 - CLAIMS INFORMATION - KG Table I

Insurer : Max Bupa Health Insurance Company Limited

Solvency for the quarter ended 30th June 2013

Required solvency margin based on net premium and net incurred claims (Rs. in Lacs)

| Item No. | Description | PREMIUM | | CLAIMS | | | RSM-1 | RSM-2 | RSM |
|----------|--------------|-----------------|-----------------|----------------------|--------------------|----------------|----------------|----------------|-----|
| | | Gross Premium | Net Premium | Gross incurred claim | Net incurred Claim | | | | |
| 1 | Fire | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 2 | Marine Cargo | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 3 | Marine Hull | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 4 | Motor | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 5 | Engineering | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 6 | Aviation | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 7 | Laibilities | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 8 | Others | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 9 | Health | 23059.43 | 20614.50 | 10111.08 | 9062.19 | 4122.90 | 2718.66 | 5000.00 | |
| | Total | 23059.43 | 20614.50 | 10111.08 | 9062.19 | 4122.90 | 2718.66 | 5000.00 | |

PERIODIC DISCLOSURES

FORM NL-27 Offices information for Non-Life

Insurer: Max Bupa Health Insurance Company Limited Date: 30-Jun-13

| S No. | Office Information | Number |
|-------|--|---|
| 1 | No. of offices at the beginning of the Quarter | 21 |
| 2 | No. of branches approved during the Quarter | 0 |
| 3 | No. of branches opened during the Period | Out of approvals of previous Quarter 0 |
| 4 | | Out of approvals of this Quarter 0 |
| 5 | No. of branches closed during the Quarter | 0 |
| 6 | No of branches at the end of the Quarter | 21 |
| 7 | No. of branches approved but not open | 0 |
| 8 | No. of rural branches | 0 |
| 9 | No. of urban branches | 21 |

FORM NL-28-STATEMENT OF ASSETS - 3B**Company Name & Code: Max Bupa Health Insurance Company Limited & 145****Statement as on: 30th June, 2013****Statement of Investment Assets (General Insurer, Re-insurers)****(Business within India)****Periodicity of Submission: Quarterly***Rs. In Lakhs*

| No | PARTICULARS | SCH | AMOUNT |
|----|---|-----------|------------------|
| 1 | Investments | 8 | 25,941.10 |
| 2 | Loans | 9 | - |
| 3 | Fixed Assets | 10 | 2,257.85 |
| 4 | Current Assets | | |
| | a. Cash & Bank Balance | 11 | 367.53 |
| | b. Advances & Other Assets | 12 | 2,876.91 |
| 5 | Current Liabilities | | |
| | a. Current Liabilities | 13 | -4,706.50 |
| | b. Provisions | 14 | -15,474.74 |
| | c. Misc. Exp not Written Off | 15 | - |
| | d. Debit Balance of P&L A/c | | 42,511.76 |
| | Application of Funds as per Balance Sheet (A) | | 53,773.91 |
| | Less: Other Assets | SCH | Amount |
| 1 | Loans (if any) | 9 | - |
| 2 | Fixed Assets (if any) | 10 | 2,257.85 |
| 3 | Cash & Bank Balance (if any) | 11 | 367.53 |
| 4 | Advances & Other Assets (if any) | 12 | 2,876.91 |
| 5 | Current Liabilities | 13 | -4,706.50 |
| 6 | Provisions | 14 | -15,474.74 |
| 7 | Misc. Exp not Written Off | 15 | - |
| 8 | Debit Balance of P&L A/c | | 42,511.76 |
| | | TOTAL (B) | 27,832.81 |
| | 'Investment Assets' As per FORM 3B | (A-B) | 25,941.10 |

| No | 'Investment' represented as | Reg. % | SH | | PH | Book Value (SH + PH) | % Actual | FVC Amount | Total | Market Value |
|----|--|----------------------|----------------|------------------|------------------|-------------------------|----------------|---------------|------------------|------------------|
| | | | Balance | FRSM* | | | | | | |
| | | | (a) | (b) | (c) | d = (b+c) | | (e) | (d + e) | |
| 1 | G. Sec. | Not less than 20% | - | 4,978.02 | 3,418.36 | 8,396.38 | 32.40% | - | 8,396.38 | 8,423.63 |
| 2 | G. Sec or Other Approved Sec. (incl. (1) above) | Not less than 30% | - | 4,978.02 | 3,418.36 | 8,396.38 | 32.40% | - | 8,396.38 | 8,423.63 |
| 3 | Investment subject to Exposure Norms | | | | | | | | | |
| | 1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments | Not less than 15% | - | 1,003.76 | 3,994.57 | 4,998.32 | 19.29% | - | 4,998.32 | 5,014.64 |
| | 2. Approved Investments | Not exceeding | - | 3,516.62 | 6,889.62 | 10,406.24 | 40.15% | 7.92 | 10,414.16 | 10,424.99 |
| | 3. Other Investments (not exceeding 25%) | | - | 2,116.25 | - | 2,116.25 | 8.17% | 15.99 | 2,132.24 | 2,132.24 |
| | Total Investment Assets | | - | 11,614.65 | 14,302.54 | 25,917.19 | 100.00% | 23.92 | 25,941.10 | 25,995.50 |

Certification:

Certified that the information given herein are correct and complete to the best of our knowledge and belief and nothing has been concealed or suppressed

Note: * FRSM refers to 'Funds representing Solvency Margin'

| PERIODIC DISCLOSURES | | | | | | | | |
|----------------------|--|----------------------------------|--|--|--|--|--|--|
| FORM NL-29 | | Detail regarding debt securities | | | | | | |

Insurer:

Max Bupa Health Insurance Company Limited

Date:

June 30, 2013

(Rs in Lakhs)

| Detail Regarding debt securities | | | | | | | | |
|--|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|
| | Market Value | | | | Book Value | | | |
| | as at 30 June, 2013 | as % of total for this class | as at 30 June, 2012 | as % of total for this class | as at 30 June, 2013 | as % of total for this class | as at 30 June, 2012 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 7,171 | 46% | 6,567 | 49% | 7,144 | 46% | 6,570 | 49% |
| AA or better | - | - | - | | - | - | - | - |
| Rated below AA but above A | - | - | - | | - | - | - | - |
| Rated below A but above B | - | - | - | | - | - | - | - |
| Any other(Sovereign) | 8,424 | 54% | 6,831 | 51% | 8,396 | 54% | 6,824 | 51% |
| BREAKDOWN BY RESIDUAL MATURITY | | | 0 | | | | 0 | 0 |
| Up to 1 year | 8,577 | 55% | 8,471 | 63% | 8,556 | 55% | 8,467 | 63% |
| more than 1 year and upto 3 years | 4,506 | 29% | 3,944 | 29% | 4,471 | 29% | 3,946 | 29% |
| More than 3 years and up to 7 years | 2,511 | 16% | 984 | 7% | 2,514 | 16% | 981 | 7% |
| More than 7 years and up to 10 years | - | - | - | | - | - | - | - |
| above 10 years | - | - | - | | - | - | - | - |
| Breakdown by type of the issuer | | | 0 | | | | 0 | 0 |
| a. Central Government | 8,424 | 54% | 5,848 | 44% | 8,396 | 54% | 5,844 | 44% |
| b. State Government | - | 0% | 983 | 7% | 0 | 0% | 979 | 7% |
| c. Corporate Securities | 7,171 | 46% | 6,567 | 49% | 7,144 | 46% | 6,570 | 49% |

Note

1. In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
2. Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
3. The above disclosure does not include investments in money market instruments (certificate of deposit), fixed deposits and mutual funds.

PERIODIC DISCLOSURES

FORM NL-30 Analytical Ratios

Insurer: Max Bupa Health Insurance Company Limited Date: 30-Jun-13

| Analytical Ratios for Non-Life companies | | | | | |
|---|---|-----------------|----------------|--|---------------------------------------|
| | Particular | For the Quarter | Up to the Year | Corresponding Quarter of the preceeding year | Up to the year of the preceeding year |
| 1 | Gross Premium Growth Rate | 1.64 | 1.64 | 2.65 | 2.65 |
| 2 | Gross Premium to shareholders' fund ratio | 0.53 | 0.53 | 0.31 | 0.31 |
| 3 | Growth rate of shareholders'fund | (0.05) | (0.05) | 0.11 | 0.11 |
| 4 | Net Retention Ratio | 0.95 | 0.95 | 0.90 | 0.90 |
| 5 | Net Commission Ratio | 0.09 | 0.09 | 0.08 | 0.08 |
| 6 | Expense of Management to Gross Direct Premium Ratio | 0.82 | 0.82 | 1.16 | 1.16 |
| 7 | Combined Ratio | 1.59 | 1.59 | 1.96 | 1.96 |
| 8 | Technical Reserves to net premium ratio | 2.53 | 2.53 | 2.30 | 2.30 |
| 9 | Underwriting balance ratio | (0.70) | (0.70) | (1.32) | (1.32) |
| 10 | Operating Profit Ratio | (0.65) | (0.65) | (1.20) | (1.20) |
| 11 | Liquid Assets to liabilities ratio | 1.84 | 1.84 | 2.80 | 2.80 |
| 12 | Net earning ratio | (0.55) | (0.55) | (0.92) | (0.92) |
| 13 | Return on net worth ratio | (0.28) | (0.28) | (0.25) | (0.25) |
| 14 | Available Solvency margin Ratio to Required Solvency Margin Ratio | 2.04 | 2.04 | 2.18 | 2.18 |
| 15 | NPA Ratio | - | - | - | - |
| | Gross NPA Ratio | NA | NA | NA | NA |
| | Net NPA Ratio | NA | NA | NA | NA |
| Equity Holding Pattern for Non-Life Insurers | | (Rs in Lakhs) | | (Rs in Lakhs) | |
| 1 | (a) No. of shares | 531,000,000 | 531,000,000 | 419,500,000 | 419,500,000 |
| 2 | (b) Percentage of shareholding (Indian / Foreign) | 74%/26% | 74%/26% | 74%/26% | 74%/26% |
| 3 | (c) %of Government holding (in case of public sector insurance companies) | Nil | Nil | Nil | Nil |
| 4 | (a) Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | (0.59) | (0.59) | (0.76) | (0.76) |
| 5 | (b) Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | (0.59) | (0.59) | (0.76) | (0.76) |
| 6 | (iv) Book value per share (Rs) | 2.12 | 2.12 | 2.84 | 2.84 |

Note: previous period numbers have been regrouped wherever necessary

PERIODIC DISCLOSURES

FORM NL-31 : Related Party Transactions

Insurer: Max Bupa Health Insurance Company Limited

Date:

30-Jun-13

(Rs in Lakhs)

| Related Party Transactions | | | | | | | |
|----------------------------|------------------------------------|---|--|----------------------------------|--------------------|---|---|
| Sl.No. | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Consideration paid / (received)* | | | |
| | | | | For Quarter Ended | Upto Quarter Ended | Corresponding Period of the preceeding year | Up to the Period of the preceeding year |
| 1 | Max India Limited | Holding Company | Reimbursement of Expenses | 9.72 | 9.72 | 1.08 | 1.08 |
| 2 | Max India Limited | Holding Company | Premium Income | (38.88) | (38.88) | (35.65) | (35.65) |
| 3 | Max India Limited | Holding Company | Equity Contribution | (1,998.00) | (1,998.00) | (2590.00) | (2,590.00) |
| 4 | Mr. Manasije Mishra | Key Management Personal | Remuneration | 37.50 | 37.50 | 0.00 | - |
| 5 | Dr. Damien Marmion | Key Management Personal | Remuneration | - | - | 37.50 | 37.50 |
| 6 | Max Healthcare Institute Limited | Fellow Subsidiary | Premium Income | (5.24) | (5.24) | (1.30) | (1.30) |
| 7 | Max Healthcare Institute Limited | Fellow Subsidiary | Claims Paid | 21.85 | 21.85 | 44.82 | 44.82 |
| 8 | Max Life Insurance Company Ltd | Fellow Subsidiary | Premium Income | 7.96 | 7.96 | (24.31) | (24.31) |
| 9 | Max Life Insurance Company Ltd | Fellow Subsidiary | Services Received | 9.60 | 9.60 | 2.32 | 2.32 |
| 10 | Neeman Medical International Ltd | Fellow Subsidiary | Premium Income | (33.62) | (33.62) | (36.23) | (36.23) |
| 11 | MAX HEALTHSTAFF INTERNATIONAL LTD. | Fellow Subsidiary | Premium Income | (0.42) | (0.42) | (1.71) | (1.71) |
| 12 | Alps Hospital Limited | Fellow Subsidiary | Premium Income | (1.09) | (1.09) | (0.53) | (0.53) |
| 13 | Alps Hospital Limited | Fellow Subsidiary | Claims Paid | 13.41 | 13.41 | 10.18 | 10.18 |
| 14 | Hometrail Estate Pvt Ltd | Fellow Subsidiary | Premium Income | (0.42) | (0.42) | (9.55) | (9.55) |
| 15 | Hometrail Estate Pvt Ltd | Fellow Subsidiary | Claims Paid | 13.41 | 13.41 | 5.65 | 5.65 |
| 16 | Hometrail Buildtech Pvt Ltd | Fellow Subsidiary | Premium Income | (0.28) | (0.28) | (0.94) | (0.94) |
| 17 | Hometrail Buildtech Pvt Ltd | Fellow Subsidiary | Claims Paid | 5.23 | 5.23 | 0.98 | 0.98 |
| 18 | Bupa Singapore Pte Limited | Shareholders with Significant Influence | Equity Contribution | (650.00) | (650.00) | (1664.00) | (1,664.00) |
| 19 | Bupa Singapore Pte Limited | Shareholders with Significant Influence | Reimbursement of Expenses | - | - | 107.54 | 107.54 |
| 20 | Bupa Finance Plc. U.K. | Shareholders with Significant Influence | Reimbursement of Expenses | - | - | 10.47 | 10.47 |
| 21 | Antra Senior Living Pvt Ltd. | Fellow Subsidiary | Premium Income | (10.86) | (10.86) | - | - |

*including the premium flow through Associates/ Group companies as an agent

PERIODIC DISCLOSURES

FORM NL-32 Products Information

Insurer: Date:

Products Information

List below the products and/or add-ons introduced during the period

| Sl. No. | Name of Product | Co. Ref. No. | IRDA Ref.no. | Class of Business* | Category of product | Date of filing of Product | Date IRDA confirmed filing/ approval |
|---------|-------------------------|---------------------------------|-----------------------------------|-------------------------|-------------------------------|---------------------------|--------------------------------------|
| 1 | Group Personal Accident | MBHI/IRDA/Product/05/12/182-L&C | IRDA/NL-HLT/MBHI/P-P/V.I/13/13-14 | Misc - Health Insurance | Internal Tariff Rated Product | 04-May-12 | 01-May-13 |

FORM NL-33 - SOLVENCY MARGIN - KGII

TABLE - II

Insurer: Max Bupa Health Insurance Company Limited

Solvency as at 30th June 2013

(Rs. in Lacs)

| Item | Description | Notes No. | Amount |
|------|--|-----------|-----------------|
| (1) | (2) | (3) | (4) |
| 1 | Available Assets in Policyholders' Funds (adjusted value of Assets as mentioned in Form IRDA-Assets-AA): | | 14302.54 |
| | Deduct: | | |
| 2 | Liabilities (reserves as mentioned in Form HG) | | 14302.54 |
| | | | |
| 3 | Other Liabilities (other liabilities in respect of Policyholders' Fund as mentioned in Balance Sheet) | | |
| 4 | Excess in Policyholders' Funds (1-2-3) | | 0.00 |
| | | | |
| 5 | Available Assets in Shareholders' Funds (value of Assets as mentioned in Form IRDA-Assets-AA): | | 16073.86 |
| | Deduct: | | |
| 6 | Other Liabilities (other liabilities in respect of Shareholders' Fund as mentioned in Balance Sheet) | | 5878.70 |
| 7 | Excess in Shareholders' Funds (5-6) | | 10195.16 |
| | | | |
| 8 | Total Available Solvency Margin [ASM] (4+7) | | 10195.16 |
| | | | |
| 9 | Total Required Solvency Margin [RSM] | | 5000.00 |
| | | | |
| 10 | Solvency Ratio (Total ASM/Total RSM) | | 2.04 |

| PERIODIC DISCLOSURES | | | |
|---|--|------------------------------|--|
| FORM NL-34 : Board of Directors & Key Person | | | |
| Insurer: | Max Bupa Health Insurance Company Limited | Date: | 30.06.2013 |
| BOD and Key Person information | | | |
| Sl. No. | Name of person | Role/designation | Details of change in the period |
| Board of Directors | | | |
| 1 | Mr. Anuroop Singh | Chairman | |
| 2 | Mr. Rahul Khosla | Director | |
| 3 | Mr. Mohit Talwar | Director | Regularised as Director in Annual General Meeting held |
| 4 | Ms. Elizabeth Alison Platt | Director | |
| 5 | Mr. James Gordon Wheaton | Director | |
| 6 | Dr. Damien Vincent Marmion | Director | |
| 7 | Mr. Anthony Maxwell Coleman | Director | |
| 8 | Mr. Leo Puri | Director | |
| 9 | Mr. Amit Sharma | Director | |
| 10 | Mr. Neil Robert Taylor | Director | Regularised as Director in Annual General Meeting held |
| 11 | Mr. Manasije Mishra | Whole-time Director | |
| Key Person* | | | |
| 12 | Mr. Manasije Mishra | Chief Executive Officer | |
| 13 | Mr. Neeraj Basur | Chief Financial Officer | |
| 14 | Ms. Sevantika Bhandari | Director - Marketing | |
| 15 | Mr. Biresh Giri | Appointed Actuary | |
| 16 | Mr. Vishal Garg | Head - Investment & Treasury | |
| 17 | Mr. Gaurav Ahuja | Head - Internal Audit | |

*Key Persons as defined in IRDA Registration of Companies Regulations, 2000

FORM NL-35-NON PERFORMING ASSETS-7A

Company Name & Code: Max Bupa Health Insurance Company Limited & 145

Statement as on: 30th June, 2013

Name of the Fund: General Insurance

Details of Investment Portfolio

Periodicity of Submission : Quarterly

| COI | Company Name | Instrument Type | Interest Rate | | Total O/s (Book Value) | Default Principal (Book Value) | Default Interest (Book Value) | Principal Due from | Interest Due from | Deferred Principal | Deferred Interest | Rolled Over? | Has there been any Principal Waiver? | | Classification | Provision (%) | Provision (Rs) |
|-----|--------------|-----------------|---------------|--------------------------|------------------------|--------------------------------|-------------------------------|--------------------|-------------------|--------------------|-------------------|--------------|--------------------------------------|--------------------|----------------|---------------|----------------|
| | | | % | Has there been revision? | | | | | | | | | Amount | Board Approval Ref | | | |
| | | | | | | | | | | | | | | | | | |
| NIL | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM NL-36-YIELD ON INVESTMENTS 1

Company Name & Code: Max Bupa Health Insurance Company Limited & 145

Statement as on: 30th June, 2013

Name of the Fund General Insurance

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|--------------|---|---------------|------------------|------------------|----------------------|------------------------------|----------------------------|------------------|------------------|----------------------|------------------------------|----------------------------|------------------|------------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| 1 | Central Government Bonds | CGSB | 5,976.11 | 6,001.91 | 100.99 | 7.94% | 7.94% | 5,976.11 | 6,001.91 | 100.99 | 7.94% | 7.94% | 4,433.59 | 4,457.43 | 263.07 | 8.03% | 8.03% |
| 2 | Deposit under Section 7 of Insurance Act, 1938 | CDSS | 997.86 | 999.30 | 20.14 | 8.08% | 8.08% | 997.86 | 999.30 | 20.14 | 8.08% | 8.08% | 995.89 | 997.24 | 89.89 | 8.14% | 8.14% |
| 3 | Treasury Bills | CTRB | 1,422.41 | 1,422.41 | 24.62 | 7.92% | 7.92% | 1,422.41 | 1,422.41 | 24.62 | 7.92% | 7.92% | 1,467.19 | 1,467.19 | 144.34 | 7.95% | 7.95% |
| 4 | State Government Bonds | SGGB | - | - | 25.53 | 8.65% | 8.65% | - | - | 25.53 | 8.65% | 8.65% | 1,493.25 | 1,494.50 | 113.82 | 8.46% | 8.46% |
| 5 | Bonds/Debentures issued by Authority constituted under any Housing/Building scheme approved by Central/State/any authority or body constituted by Central/State Act | HTDA | 1,502.58 | 1,510.83 | 35.06 | 9.33% | 9.33% | 1,502.58 | 1,510.83 | 35.06 | 9.33% | 9.33% | 1,502.61 | 1,506.94 | 162.59 | 9.84% | 9.84% |
| 6 | Infrastructure - PSU - Debentures/Bonds | IPTD | 2,500.32 | 2,504.71 | 56.21 | 9.02% | 9.02% | 2,500.32 | 2,504.71 | 56.21 | 9.02% | 9.02% | 2,499.58 | 2,497.48 | 266.24 | 9.36% | 9.36% |
| 7 | Infrastructure - Other Corporate Securities - Debentures/Bonds | ICTD | 995.42 | 999.11 | 24.19 | 9.19% | 9.19% | 995.42 | 999.11 | 24.19 | 9.19% | 9.19% | 1,495.22 | 1,498.29 | 87.80 | 9.50% | 9.50% |
| 8 | Corporate Securities - Bonds - (Taxable) | EPBT | 2,145.23 | 2,156.06 | 56.88 | 9.01% | 9.01% | 2,145.23 | 2,156.06 | 56.88 | 9.01% | 9.01% | 2,635.92 | 2,640.56 | 111.19 | 9.16% | 9.16% |
| 9 | Corporate Securities - Debentures | ECOS | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | - | - | 56.80 | 9.27% | 9.27% |
| 10 | Deposits - Deposit with Scheduled Banks, Fis (including bank balance awaiting investment), CCIL, RBI) | ECDB | 5,192.26 | 5,192.26 | 121.84 | 9.31% | 9.31% | 5,192.26 | 5,192.26 | 121.84 | 9.31% | 9.31% | 4,743.25 | 4,743.25 | 253.17 | 9.41% | 9.41% |
| 11 | Deposits - CDs with scheduled banks | EDCD | 1,924.80 | 1,924.80 | 52.24 | 8.85% | 8.85% | 1,924.80 | 1,924.80 | 52.24 | 8.85% | 8.85% | 2,372.56 | 2,372.56 | 274.34 | 9.41% | 9.41% |
| 12 | Mutual funds - GILT/G-Sec/Liquid schemes* | EGMF | 1,143.96 | 1,151.88 | 9.15 | 5.51% | 5.51% | 1,143.96 | 1,151.88 | 9.15 | 5.51% | 5.51% | 397.34 | 400.52 | 58.62 | 8.73% | 8.73% |
| 13 | Mutual funds - Debt/income/serial plans/Liquid schemes* | OMGS | 2,116.25 | 2,132.24 | 40.45 | 7.47% | 7.47% | 2,116.25 | 2,132.24 | 40.45 | 7.47% | 7.47% | 1,939.20 | 1,949.85 | 151.86 | 8.50% | 8.50% |
| TOTAL | | | 25,917.19 | 25,995.50 | 567.30 | 8.56% | 8.56% | 25,917.19 | 25,995.50 | 567.30 | 8.56% | 8.56% | 25,975.61 | 26,025.80 | 2,033.72 | 8.90% | 8.90% |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Full name: Vishal Garg

Designation: Chief Investment Officer

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name & Code: Max Bupa Health Insurance Company Limited & 145

Statement as on: 30th June, 2013

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : General Insurance

Rs. Lakhs

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|-----------|---|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <i>During the Quarter ¹</i> | | | | | | | | |
| | | NIL | NA | | | | | | |
| | | | | | | | | | |
| B. | <i>As on Date ²</i> | | | | | | | | |
| | | NIL | NA | | | | | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

PERIODIC DISCLOSURES

FORM NL-38 Business Returns across line of Business

Insurer: Max Bupa Health Insurance Company Limited

Date : 30-Jun-13

(Rs in Lakhs)

| Sl.No. | Line of Business | Current Period | | Same Period previous year | | upto the period | | same period of the previos | |
|--------|------------------------|----------------|-----------------|---------------------------|-----------------|-----------------|-----------------|----------------------------|-----------------|
| | | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies |
| 1 | Fire | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 2 | Cargo & Hull | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 3 | Motor TP | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 4 | Motor OD | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 5 | Engineering | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 6 | Workmen's Compensation | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 7 | Employer's Liability | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 8 | Aviation | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 9 | Personal Accident | 31.74 | 1,489 | N.A. | N.A. | 31.74 | 1,489 | N.A. | N.A. |
| 10 | Health | 5,944.57 | 40,539 | 3,638.68 | 29,718 | 5,944.57 | 40,539 | 3,638.68 | 29,718 |
| 11 | Others* | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |

Note: previous period numbers have been regrouped wherever necessary

FORM NL-39 Rural & Social ObligationsInsurer: **Max Bupa Health Insurance Company Limited** Date: **30-Jun-13***(Rs in Lakhs)*

| Sl.No. | Line of Business | Particular | No. of Policies Issued | Premium Collected | Sum Assured |
|--------|------------------------|------------|------------------------|-------------------|-------------|
| 1 | Fire | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 2 | Cargo & Hull | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 3 | Motor TP | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 4 | Motor OD | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 5 | Engineering | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 6 | Workmen's Compensation | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 7 | Employer's Liability | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 8 | Aviation | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 9 | Personal Accident | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |
| 10 | Health | Rural | 252 | 23.67 | 1281 |
| | | Social | NA | NA | NA |
| 11 | Others* | Rural | NA | NA | NA |
| | | Social | NA | NA | NA |

PERIODIC DISCLOSURES

FORM NL-40

Insurer: Max Bupa Health Insurance Company Limited

Date:

30-Jun-13

(Rs in Lakhs)

| S No. | Channels | Business Acquisition through different channels | | | | Up to the period | | | |
|-------|--------------------------|---|-----------------|---------------------------|-----------------|------------------|-----------------|----------------------------------|-----------------|
| | | Current Period | | Same Period previous year | | Current year | | Same period of the previous year | |
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 23,289 | 2,803.73 | 14,582 | 1,849.45 | 23,289 | 2,803.73 | 14,582 | 1,849.45 |
| 2 | Corporate Agents-Banks | - | - | - | - | - | - | - | - |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - |
| 4 | Brokers | 3,847 | 505.78 | 3,216 | 403.10 | 3,847 | 505.78 | 3,216 | 403.10 |
| 5 | Micro Agents | - | - | 6 | 3.89 | - | - | 6 | 3.89 |
| 6 | Direct Business | 14,892 | 2,666.79 | 11,914 | 1,382.24 | 14,892 | 2,666.79 | 11,914 | 1,382.24 |
| | Total (A) | 42,028 | 5,976.30 | 29,718 | 3,638.68 | 42,028 | 5,976.30 | 29,718 | 3,638.68 |
| 1 | Referral (B) | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | 42,028 | 5,976.30 | 29,718 | 3,638.68 | 42,028 | 5,976.30 | 29,718 | 3,638.68 |

Note: previous period numbers have been regrouped wherever necessary

PERIODIC DISCLOSURES

FORM NL-41 GREIVANCE DISPOSAL

Insurer: Max Bupa Health Insurance Company Limited

Date: 30-Jun-13

| SI No. | Particulars | Opening Balance * As on beginning of the quarter | Additions during the quarter | Complaints Resolved/Settled during the quarter | | | Complaints Pending at the end of the quarter | Total complaints registered upto the quarter during the financial year |
|--------|-----------------------------------|--|------------------------------|--|------------------|-----------|--|--|
| | | | | Fully Accepted | Partial Accepted | Rejected | | |
| 1 | Complaints made by customers | | | | | | | |
| | a) Proposal related | 1 | 22 | 11 | 4 | 8 | 0 | 22 |
| | b) Claim | 1 | 62 | 16 | 15 | 31 | 1 | 62 |
| | c) Policy related | 4 | 39 | 25 | 3 | 14 | 1 | 39 |
| | d) Premium | 0 | 1 | 1 | 0 | 0 | 0 | 1 |
| | e) Refund | 2 | 6 | 4 | 0 | 0 | 4 | 6 |
| | f) Coverage | 5 | 47 | 29 | 10 | 12 | 1 | 47 |
| | g) Cover note related | 0 | 4 | 4 | 0 | 0 | 0 | 4 |
| | h) Product | 1 | 14 | 7 | 1 | 5 | 2 | 14 |
| | i) Others | 0 | 12 | 6 | 1 | 4 | 1 | 12 |
| | Total number of complaints | 14 | 207 | 103 | 34 | 74 | 10 | 207 |

| | | |
|---|---|--------|
| 2 | Total No. of policies during the quarter ended 30th June2012: | 29,718 |
| 3 | Total No. of claims during the quarter ended 30th June2012: | 4452 |
| 4 | Total No. of policies during the quarter ended 30th June2013: | 42,028 |
| 5 | Total No. of claims during the quarter ended 30th June2013: | 10200 |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year): | 9.28 |
| 7 | Total No. of Claim Complaints (current year) per 10,000 policies (current year): | 14.75 |

| 2 | Duration wise Pending Status | Complaints made by Customers | Complaints made by intermediaries | Total |
|---|-------------------------------|------------------------------|-----------------------------------|-----------|
| | a) Upto 7 days | 10 | 0 | 10 |
| | b) 7 - 15 days | 0 | 0 | 0 |
| | c) 15 - 30 days | 0 | 0 | 0 |
| | d) 30 - 90 days | 0 | 0 | 0 |
| | e) 90 days and beyond | 0 | 0 | 0 |
| | Total No. of complaint | 10 | 0 | 10 |